Interaction Between the Serotonin Transporter Gene (5-HTTLPR), Stressful Life Events, and Risk of Depression: A Meta-analysis

Neil Risch; Richard Herrell; Thomas Lehner; et al.


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Related Letters

Gene-Environment Interactions and Depression

In Reply:
Interaction Between the Serotonin Transporter Gene (5-HTTLPR), Stressful Life Events, and Risk of Depression: A Meta-analysis

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The successful statistical identification and independent replication of numerous genetic markers in association studies have confirmed the utility of the genome-wide approach for the detection of genetic markers for complex disorders. However, recent genome-wide association studies have also indicated that most common genetic risks, at least when studied individually, are modest in magnitude, with relative risks in the range of 1.3 or less. This suggests that complex disorders result from the combination of numerous individual genetic and environmental contributors, with the potential for interactions among them. However, there is a lack of consensus regarding whether gene × gene or gene × environment interactions should be examined at the stage of gene detection or only after a gene effect has been clearly identified and replicated.

Context
Substantial resources are being devoted to identify candidate genes for complex mental and behavioral disorders through inclusion of environmental exposures following the report of an interaction between the serotonin transporter linked polymorphic region (5-HTTLPR) and stressful life events on an increased risk of major depression.

Objective
To conduct a meta-analysis of the interaction between the serotonin transporter gene and stressful life events on depression using both published data and individual-level original data.

Data Sources
Search of PubMed, EMBASE, and PsycINFO databases through March 2009 yielded 26 studies of which 14 met criteria for the meta-analysis.

Study Selection
Criteria for studies for the meta-analyses included published data on the association between 5-HTTLPR genotype (SS, SL, or LL), number of stressful life events (0, 1, 2, ≥3) or equivalent, and a categorical measure of depression defined by the Diagnostic and Statistical Manual of Mental Disorders (Fourth Edition) or the International Statistical Classification of Diseases, 10th Revision (ICD-10) or use of a cut point to define depression from standardized rating scales. To maximize our ability to use a common framework for variable definition, we also requested original data from all studies published prior to 2008 that met inclusion criteria. Of the 14 studies included in the meta-analysis, 10 were also included in a second sex-specific meta-analysis of original individual-level data.

Data Extraction
Logistic regression was used to estimate the effects of the number of short alleles at 5-HTTLPR, the number of stressful life events, and their interaction on depression. Odds ratios (ORs) and 95% confidence intervals (CIs) were calculated separately for each study and then weighted averages of the individual estimates were obtained using random-effects meta-analysis. Both sex-combined and sex-specific meta-analyses were conducted. Of a total of 14 250 participants, 1769 were classified as having depression; 12 481 as not having depression.

Results
In the meta-analysis of published data, the number of stressful life events was significantly associated with depression (OR, 1.41; 95% CI, 1.25-1.57). No association was found between 5-HTTLPR genotype and depression in any of the individual studies nor in the weighted average (OR, 1.05; 95% CI, 0.98-1.13) and no interaction effect between genotype and stressful life events on depression was observed (OR, 1.01; 95% CI, 0.94-1.10). Comparable results were found in the sex-specific meta-analysis of individual-level data.

Conclusion
This meta-analysis yielded no evidence that the serotonin transporter genotype alone or in interaction with stressful life events is associated with an elevated risk of depression in men alone, women alone, or in both sexes combined.

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Despite progress in risk gene identification for several complex diseases, few disorders have proven as resistant to robust gene finding as psychiatric illnesses. The slow rate of progress in psychiatry and behavioral sciences partly reflects a still-evolving classification system, absence of valid pathognomonic diagnostic markers, and lack of well-defined etiologic pathways. Although these disorders have long been assumed to result from some combination of genetic vulnerability and environmental exposure, direct evidence from a specific example has not been forthcoming. Few if any of the genes identified in candidate gene association studies of psychiatric disorders have withstood the test of replication and to date, genome-wide association studies of psychiatric disorders have also had limited success. In terms of environmental factors, however, stressful life events have been well-established as a risk factor for a range of mental disorders, most commonly major depression, a risk factor for a range of mental disorders, however, stressful life events have been well-established as a risk factor for a range of mental disorders, most commonly major depression. In the context of this environmental risk factor and in particular the simultaneous consideration of both genetic and environmental agents.

One prominent example has been the study by Caspi and colleagues who concluded that, in interaction with depression. In the context of this environmental risk factor and in particular the simultaneous consideration of both genetic and environmental agents. A further examination of serotonin-specific reuptake inhibitors in treating depression and the well-established link between stressful life events and depression this finding offered a plausible biological link. This result was also striking and potentially paradigm shifting because numerous prior association studies of the same polymorphism (but without examining environmental risk factors or life events) had not consistently shown either a strong or replicated association with depression.

### METHODS

#### Study Selection

A search of the PubMed, EMBASE, and PsycINFO databases was conducted through March 2009 using the search terms life stress, life event, depression, stress, serotonin transporter, 5-HTTLPR, interaction, and moderation. Bibliographies of studies were also reviewed. A total of 26 articles published or set for publication were identified through this search strategy. Inclusion criteria for studies for the meta-analyses were published articles that included data on the association between the (1) 5-HTTLPR genotype (SS, SL, or LL), (2) number of stressful life events (0, 1, 2, or ≥3) and equivalent, and (3) categorical measure of depression defined by the Diagnostic and Statistical Manual of Mental Disorders (Fourth Edition) or International Statistical Classification of Diseases, 10th Revision (ICD-10) or ability to use a cut point to define depression from standardized rating scales. To maximize our ability to use a common framework for variable definition, we also requested original data from all studies that appeared to meet inclusion criteria published prior to 2008. We received original data in a usable format for the meta-analysis from 10 of the 14 study investigators. A total of 14 studies were included in the analysis, of which 10 were also included in a second sex-specific meta-analysis of original data. Nine other studies did not meet inclusion criteria.

#### Data Coding

To provide a uniform approach to analysis consistent with the variable definitions of the Caspi et al study, the...
variables of each of the studies were coded as follows: major depression as a dichotomous outcome when based on diagnostic interviews, or standard cut points or above the 85th percentile of the scales’ score distribution when based on dimensional measures; stressful life events (or their equivalent) were coded on an ordinal scale (0, 1, 2, ≥3); and the 5-HTTLPR genotype was coded as 0, 1, or 2 for the number of S alleles. Sex, age, and twin status were also coded. Our coding differs from that of some of the original studies that used a different coding strategy such as dichotomized high and low stress (eg, Taylor et al26) or multiple types of environmental stressors (eg, Eley et al27) rather than the ordinal scale of life events that was included in most studies.28,49

We report 2 meta-analyses. The first includes a total of 14 250 participants from studies published through March 2009 that included data on stressful life events, 5-HTTLPR genotype, and depression in a consistent manner. The second meta-analysis includes sex-specific data on a total of 10 943 participants based on the individual-level data from the subset of the studies from which it was available. Several studies were not included in these meta-analyses due to lack of sufficient data for analysis or differential operationalization of the key study variables, most commonly, the use of a continuous outcome measure for depression, which did not allow for logistic regression as was performed for the included studies.

**Analytic Methods**

We first examined the association between the number of stressful life events compared with none as the explanatory variable with depression as the response variable. For each number of stressful life events, we calculated an odds ratio (OR) and its 95% confidence interval (CI). Second, we investigated the associations between stressful life events alone (entered as an ordinal variable) and the number of S alleles alone on depression as the response variable. Third, we examined the joint effect of number of stressful life events and number of S alleles on the risk of depression to test whether there is an interaction in their influence on the risk of depression, as indicated by a significant OR for their joint effect on the response variable of depression. Fourth, to determine whether gene-environment correlation exists, we evaluated the association between the proportion of S alleles (dependent variable) and number of stressful life events (independent variable) among those without depression by logistic regression. As another test of gene-environment interaction, we performed the same regression as above in those with depression instead of those without depression and then calculated the difference (δ) in β coefficients and the standard error of δ.

The analyses were conducted first for sexes combined from the published data of 14 studies and then for men and women separately for each of the 10 studies with individual-level data. A random-effects meta-analysis was used to obtain weighted averages across studies. There was minimal change in the coefficients or their standard errors when ethnicity and age were included in the models, and we conservatively included only 1 random member of each twin pair from twin study data. The statistical models for the formal meta-analysis included the actual number of S alleles to approximate the dosage model applied by Caspi et al,12 although the findings were comparable for a recessive model (SS vs SL plus LL) and a dominant model (SS plus SL vs LL).

Logistic regression models were used to test the associations between the explanatory (number of S alleles; number of life events) and response variables (depression). Inclusion of the interaction term tests for deviation from a log-additive model of risk, and may be significant even when terms for main effects are not. To test for heterogeneity in all analyses, we performed χ² tests of heterogeneity, based on the derived regression β coefficients and their standard errors, assuming them to be normally distributed. Because of potential heterogeneity of the effects of explanatory variables across the individual studies, we used random-effects models to estimate the average effect across studies. Inclusion of an ordinal variable in logistic regression provides a statistical test for trends in the effects of this variable on a dichotomous outcome. We had 80% power to reject the null hypothesis of no interaction between stressful life events and 5-HTTLPR genotype in favor of a positive interaction if the true OR was 1.10 or greater. All statistical analyses were conducted using SAS version 9 (SAS Institute Inc, Cary, North Carolina), Microsoft Excel 2007 (Microsoft, Redmond, Washington), and Stata version SE 9 (StataCorp, College Station, Texas).

**RESULTS**

Table 1 presents the characteristics of the 14 studies that were included in the meta-analyses. There were a total of 14 250 participants of whom 1769 were classified as having depression, and 12 481 did not have depression. Published data were used from 4 studies and original data were used from 10 studies. There was wide variation in the demographic characteristics (eg, proportion of women, age distribution) and sample sizes, which ranged from 118 to 4175 participants. Most of the participants were white except for a multiethnic sample in one study26 and an Asian sample in another study.30 Genotype distributions were similar among white participants; however, Asian individuals had higher S allele frequency than white individuals. Nine of the studies used a structured interview to assess either DSM-IV or ICD-10 major depression,10,13,15,17,19,25,27,30,32 whereas the other 5 assessed depressive symptoms via self-rated symptoms scales.16,18,20,23,26 Stressful life events measures were assessed consistently across most of the studies using the Brugha List of Threatening Experiences,42,43 whereas a few studies included measures of somatic illness, unemployment, and social stressors. The final column of Table 1 describes whether the findings replicated those of Caspi et al.10
<table>
<thead>
<tr>
<th>Source</th>
<th>Total No. of Participants (No. Women)</th>
<th>Age, Mean (Range), y</th>
<th>Genotype of Participants, %&lt;sup&gt;a&lt;/sup&gt;</th>
<th>Study Design</th>
<th>Siblings/Twins</th>
<th>Life Events Measure</th>
<th>Depression Measure</th>
<th>Findings</th>
</tr>
</thead>
<tbody>
<tr>
<td>Caspi et al,&lt;sup&gt;25&lt;/sup&gt; 2003</td>
<td>847 (407)</td>
<td>26</td>
<td>31 51 17</td>
<td>Prospective cohort</td>
<td>No</td>
<td>Study-specific</td>
<td>Major depression (DSM-IV)</td>
<td>Interaction between the number of stressful life events and the number of 5-HTTLPR S alleles on the risk of depression</td>
</tr>
<tr>
<td>Eley et al,&lt;sup&gt;8&lt;/sup&gt; 2004</td>
<td>377 (220)</td>
<td>16 (12-19)</td>
<td>17 54 29</td>
<td>Cross-sectional</td>
<td>No</td>
<td></td>
<td>Mood Rating Scale</td>
<td>Replication in female sex only</td>
</tr>
<tr>
<td>Gillespie et al,&lt;sup&gt;9-11&lt;/sup&gt; 2005</td>
<td>1091 (686)</td>
<td>39 (19-78)</td>
<td>30 48 22</td>
<td>Cross-sectional</td>
<td>Twins</td>
<td>List of Threatening Events&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Major depression (DSM-IV)</td>
<td>Failed to replicate</td>
</tr>
<tr>
<td>Gillespie et al,&lt;sup&gt;9-11&lt;/sup&gt; 2005</td>
<td>1091 (686)</td>
<td>39 (19-78)</td>
<td>30 48 22</td>
<td>Cross-sectional</td>
<td>Twins</td>
<td>List of Threatening Events&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Major depression (DSM-IV)</td>
<td>Failed to replicate</td>
</tr>
<tr>
<td>Grabe et al,&lt;sup&gt;20&lt;/sup&gt; 2005</td>
<td>976 (673)</td>
<td>52 (20-79)</td>
<td>37 47 16</td>
<td>Cross-sectional</td>
<td>No</td>
<td>Study specific</td>
<td>Somatic and psychological distress scale</td>
<td>Replication in female sex only</td>
</tr>
<tr>
<td>Grabe et al,&lt;sup&gt;20&lt;/sup&gt; 2005</td>
<td>976 (673)</td>
<td>52 (20-79)</td>
<td>37 47 16</td>
<td>Cross-sectional</td>
<td>No</td>
<td>Study specific</td>
<td>Somatic and psychological distress scale</td>
<td>Replication in female sex only</td>
</tr>
<tr>
<td>Surtees et al,&lt;sup&gt;26&lt;/sup&gt; 2006</td>
<td>4175 (1950)</td>
<td>60 (41-80)</td>
<td>33 49 18</td>
<td>Cross-sectional</td>
<td>No</td>
<td>List of Threatening Events&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Major depression (DSM-IV)</td>
<td>Failed to replicate</td>
</tr>
<tr>
<td>Wilhelm et al,&lt;sup&gt;27&lt;/sup&gt; 2006</td>
<td>127 (85)</td>
<td>48 (44-52)</td>
<td>30 49 21</td>
<td>Prospective cohort</td>
<td>No</td>
<td>List of Threatening Events&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Major depression (DSM-IV)</td>
<td>Replication</td>
</tr>
<tr>
<td>Taylor et al,&lt;sup&gt;26&lt;/sup&gt; 2006</td>
<td>118 (67)</td>
<td>21 (18-29)</td>
<td>25 48 27</td>
<td>Cross-sectional</td>
<td>No</td>
<td>Study-specific</td>
<td>Beck Depression Inventory</td>
<td>Partial replication (SS genotype only)</td>
</tr>
<tr>
<td>Chorbov et al, (2007)&lt;sup&gt;27&lt;/sup&gt;</td>
<td>247 (247)</td>
<td>22 (13-23)</td>
<td>36 48 16</td>
<td>Prospective cohort</td>
<td>Twins</td>
<td>Study-specific</td>
<td>Major Depression (DSM-IV)</td>
<td>Failed to replicate (opposite direction)</td>
</tr>
<tr>
<td>Middeldorp et al,&lt;sup&gt;24&lt;/sup&gt; 2008</td>
<td>1154 (785)</td>
<td>39 (26-65)</td>
<td>33 49 19</td>
<td>Prospective cohort</td>
<td>Twins</td>
<td>Study-specific</td>
<td>Anxiety-Depression Rating Scale</td>
<td>Failed to replicate</td>
</tr>
<tr>
<td>Chipman et al,&lt;sup&gt;26&lt;/sup&gt; 2007</td>
<td>2095 (1089)</td>
<td>23 (20-24)</td>
<td>33 46 21</td>
<td>Cross-sectional</td>
<td>No</td>
<td>List of Threatening Events&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Depression rating scale</td>
<td>Failed to replicate</td>
</tr>
<tr>
<td>Cervilla et al,&lt;sup&gt;26&lt;/sup&gt; 2007</td>
<td>737 (529)</td>
<td>49 (13-75)</td>
<td>26 50 24</td>
<td>Cross-sectional</td>
<td>No</td>
<td>List of Threatening Events&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Major depression (ICD-10)</td>
<td>Replication</td>
</tr>
<tr>
<td>Data from published articles</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kim et al,&lt;sup&gt;30&lt;/sup&gt; 2007</td>
<td>732 (425)</td>
<td>≥65</td>
<td>13 34 53</td>
<td>Prospective cohort</td>
<td>No</td>
<td>List of Threatening Events&lt;sup&gt;b&lt;/sup&gt;</td>
<td>Clinical depression</td>
<td>Replication</td>
</tr>
<tr>
<td>Power et al,&lt;sup&gt;31&lt;/sup&gt; 2008</td>
<td>1421 (831)</td>
<td>≥65</td>
<td>24 47 29</td>
<td>Prospective cohort</td>
<td>No</td>
<td>Study-specific</td>
<td>Major depression (MINI)</td>
<td>Failed to replicate</td>
</tr>
<tr>
<td>Laucht et al,&lt;sup&gt;32&lt;/sup&gt; 2009</td>
<td>309 (167)</td>
<td>19</td>
<td>34 51 15</td>
<td>Cross-sectional</td>
<td>No</td>
<td>Study-specific</td>
<td>Major depression (DSM-IV)</td>
<td>Failed to replicate (opposite direction)</td>
</tr>
</tbody>
</table>

Abbreviations: DSM-IV, Diagnostic and Statistical Manual of Mental Disorders (Fourth Edition); ICD-10, International Statistical Classification of Diseases, 10th Revision (ICD-10); SCID, structured clinical interview for DSM-IV disorders. 
<sup>a</sup>Percentages may not sum to 100 due to rounding. 
<sup>b</sup>List of Threatening Events is based on Brugha.42,43
Table 2 presents the results of the meta-analysis of the relationship between depression and number of stressful life events for each study for both sexes combined (n=12,520). Although the estimates for the individual studies were highly variable, the results of the meta-analysis across all studies reveals a direct association between the number of life events and depression (1 vs 0 stressful life event: OR, 1.31; 95% CI, 0.98-1.75; 2 vs 0 stressful life events: OR, 1.95; 95% CI, 1.33-2.87; and ≥3 vs 0, stressful life events: OR, 3.21; 95% CI, 2.07-4.99). Sex-specific estimates show a similar pattern (eTable 1 available at http://www.jama.com).

Figure 2 presents the results of the first logistic regression analyses for sexes combined for 14 studies for the effect of 5-HTTLPR genotype, the effect of the number of stressful life events, and the interaction between genotype and number of life events on risk of depression.

The analysis shows no significant allele frequency difference between those with and without depression in any of the single studies or in the meta-analysis of all studies combined (OR, 1.05; 95% CI, 0.98-1.13; Figure 2A). Thus, the genotype alone did not predict depression.

Similar to the results of Table 2 for the specific number of life events, the meta-analysis shows that the number of stressful life events was significantly associated with depression.
depression in both sexes in 4 studies, and in 2 studies of females (Figure 3B). The meta-analysis showed a significant association for females alone (OR, 1.39; 95% CI, 1.23-1.58), males alone (OR, 1.43; 95% CI, 1.16-1.75), and for all participants (OR, 1.41; 95% CI, 1.27-1.57). Assuming a normal distribution for the β coefficient (log of the OR), this estimate is statistically significant, with a z score of 6.35 and a P value of <.001.

Finally, in the analysis of the interaction between genotype and number of life events on risk of depression, the regression coefficient was not significant for females in any of the studies and was nominally significant for males in 2 of the 10 studies. The meta-analysis showed no interaction effect for either females (OR, 0.95; 95% CI, 0.86-1.04), males (OR, 1.02; 95% CI, 0.86-1.20), or both sexes combined (OR, 0.98; 95% CI, 0.90-1.07). Thus, there was no evidence that the serotonin transporter genotype alone or in interaction with stressful life events is associated with an elevated risk of depression in males alone, females alone, or both sexes combined. The only significant finding across studies was the potent association of stressful life events with the risk of depression.

When examining the studies for the possibility of heterogeneity of effect—consistent with Figure 2, eTable 2, and eTable 3 available at http://www.jama.com—we found no evidence of heterogeneity for the genotype alone (P=.68); however, we did find a significant degree of heterogeneity for life events alone (P<.001). Heterogeneity of the interaction was also not significant (P>.05), and none of the individual interaction coefficients was significantly deviant from the overall average.

eTable 2 and eTable 3 show the results of another series of meta-analyses that test gene-environment correlation, gene-environment interaction, and whether stratification of

**Figure 3.** Logistic Regression Analyses of Depression for 10 Studies Stratified by Sex

<table>
<thead>
<tr>
<th>Source</th>
<th>No. of Participants</th>
<th>A Risk per S allele</th>
<th>B Risk per stressful life event</th>
<th>C Interaction of genotype and stressful life events</th>
</tr>
</thead>
<tbody>
<tr>
<td>Female sex</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Eley et al, 2004</td>
<td>192</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gillespie et al, 2005</td>
<td>1458</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Grabe et al, 2005</td>
<td>691</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sunnes et al, 2006</td>
<td>1881</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wilhelm et al, 2006</td>
<td>85</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Taylor et al, 2006</td>
<td>62</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chorbov et al, 2007</td>
<td>981</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Middeldorp et al, 2007</td>
<td>527</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Overall</td>
<td>10,943</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| Male sex |                     |                     |                               |                                                  |
| Eley et al, 2004 | 136                |                     |                               |                                                  |
| Gillespie et al, 2005 | 795               |                     |                               |                                                  |
| Grabe et al, 2005 | 308                |                     |                               |                                                  |
| Sunnes et al, 2006 | 2179               |                     |                               |                                                  |
| Wilhelm et al, 2006 | 42                |                     |                               |                                                  |
| Taylor et al, 2006 | 48                 |                     |                               |                                                  |
| Chorbov et al, 2007 | 803               |                     |                               |                                                  |
| Middeldorp et al, 2007 | 208            |                     |                               |                                                  |
| Overall | 10,943              |                     |                               |                                                  |

The boxes and lines indicate the odds ratios (ORs) and their 95% confidence intervals (CIs) on a log scale for each study. The size of the box indicates the relative weight of each estimate.
those with and without depression by the environmental risk factor (stressful life events) would enhance the power to detect an association between the 5HTTLPR genotype and depression. We divided the samples of those with and without depression into strata defined by the number of stressful life events and examined the difference in the frequency of the S alleles between those with and without depression within each stressful life events stratum. eTable 3 presents these frequencies further stratified by sex.

We first examined the association between allele frequency and number of stressful life events within those without depression, our proxy for testing for gene-environment correlation in the population. No significant relationship was found between genotype and number of life events among those with and without depression in the original findings of Caspi et al,\(^{10}\) (eTable 2), for which the allele frequency difference is in the opposite direction (\(\bar{\beta}, -0.091; \text{SE}, 0.069\)). Therefore, in this case, stratifying the allele frequency test based on the environmental exposure did not provide additional support for a genetic association.

All of the above analyses involving a dosage model or allele frequencies were repeated for genotypes, for which either the SS genotype was compared with the SL plus LL genotypes (recessive model) or the SS plus SL genotypes were compared with the LL genotype (dominant model). None of these analyses provided evidence different from that presented above based on the original models.

**COMMENT**

The results of this meta-analysis clearly demonstrate that stressful life events have a potent relationship with the risk of depression, an association that has been one of the most widely studied environmental factors for a range of mental disorders.\(^{8,9,44}\) Addition of the serotonin transporter genotype did not improve the prediction of risk of depression beyond that associated with exposure to negative life events. Prior studies\(^{45-48}\) have also raised concerns about validity of the claims of replication of the significant interactive influence of stressful life events on the association between 5HTTLPR genotype and depression.\(^{49}\) and a recent meta-analysis using a different approach to that of the present study concluded that this association was compatible with chance findings.\(^{50}\)

This work highlights several pertinent issues for interpreting reports of replication and for conducting future meta-analyses of genetic association studies. First, it was not possible to conduct a traditional meta-analysis using the standards of randomized clinical trials because few of the studies included sufficient descriptive data to conduct a standard meta-analysis. This explains why a previous report included only 5 studies that dichotomized the exposure.\(^{50}\) To supplement our analysis of published data, we also requested original data from many of the authors in order to classify the data in the same way as those of the original study.\(^{10}\) Second, as highlighted in earlier reviews of this topic\(^{45,50}\) and a recent critical review of life stressors across these studies,\(^{51}\) samples, study designs, measures, and analyses were highly divergent across studies, thereby limiting the comparability of the studies and their evidence regarding replication. For example, several studies only found significant effects for 1 genotype (SS vs SL plus LL or SS plus SL vs LL) rather than the dose effect reported by Caspi et al.\(^{21,22,24,30,35,37}\) or found an interaction effect in the opposite direction from that in the original report (the L allele was associated with stressful life events and depression\(^{15,20,24,32,35,41}\)). Third, several studies that did not replicate the overall association between genotype, stressful life events, and depression reported replication based on post hoc subgroup analyses of specific age or sex subgroups.\(^{18,20,24,35,41}\) Although such subgroup analyses may test the generalizability of the original findings, they do not constitute replications unless their findings occur using the same stratification and directionality as those of the original study.

**Strengths of the analysis reported herein include:** (1) use of the same variable definitions (ie, the number of S alleles and an ordinal measure of life events) and analytic approach that was used in the original report in order to provide a valid test of replication; (2) inclusion of primary data from numerous studies to supplement the analyses of published data to maximize our ability to use a common framework across studies; and (3) our presentation of extensive analyses of expecta-
tions of gene-environment interaction in the absence of main effects, potential gene-environment correlation, and evaluation of whether stratification by an environmental risk factor will enhance power to detect a genotype-depression association.

Limitations of this meta analysis should also be considered in interpreting our findings. First, recoding of the data from some of the studies to use the same analytic framework used by Caspi et al may have led to findings that differed from those originally reported. Second, we could only use individual-level data from 10 of the 14 studies that met inclusion criteria for this meta analysis. However, omission of the other 4 studies would not have biased our conclusions because the 1418 participants in these studies represented only about 10% of the 14,250 total participants included in the meta analysis. Moreover, evaluation of the studies that were published subsequent to 2008 (the cutoff for our analysis of original individual-level data) revealed only 1 replication, 4 nonreplications, and 1 study with findings in the opposite direction from the original report (eg, L rather than S allele was related to susceptibility). Therefore, review of the aggregate evidence from these studies does not support replication and suggests that the findings of the meta analysis presented herein were not biased by their noninclusion.

The results of this meta-analysis should not deter investigators from including environmental risk factor information in their studies, once robust marginal gene associations have been identified. Characterization of gene-environment interaction has been most successful for diseases or traits that allow the study of a single gene with a major effect in the context of a relevant environmental exposure of varying magnitude, and also when the environmental exposure has a strong effect. For example, the identification of the protective influence of the Δ32 mutation in the CCR5 chemokine receptor against human immunodeficiency virus infection was based on evaluation of unaffected controls with high exposure to the virus.54

On the other hand, few examples of gene-environment interaction exist for modest gene effects or small environmental impacts, most likely due to lack of power to characterize such an interaction. Unless the statistical relationship between genotype and environmental exposure on disease risk strongly deviates from a multiplicative (or log-additive) model, the power to detect an interaction will be low, and thus weak positive results should be interpreted carefully.

In the present study, we also considered likely scenarios for an interactive influence between 5-HTTLPR genotype with stressful life events on depression in the absence of evidence for a main effect between this genotype and depression in prior studies. The most likely explanation for an interaction without a main effect would require a reversal in the direction of the life events-by-genotype association, with the risk of depression increasing with the number of S alleles in the presence of stressful life events and decreasing with the number of S alleles in the absence of life events. Our analysis showed there was no such decrease. Therefore, attempts to rescue an unsuccessful candidate gene disease association, no matter how strong the candidate, by invoking an interaction with a common environmental exposure (such as life events) may be fraught with similar rates of false-positive associations as the original marginal gene association studies themselves.

Despite the lack of valid confirmation of the Caspi et al results, the approach to implicate candidate genes that had failed previous direct association studies through inclusion of an environmental exposure has been rapidly embraced, and substantial resources have been devoted to subsequent research. The widespread acceptance of these findings is likely to have been in part attributable to the acclaim the original article received, as well as a field that was eager for a new approach due to the frustrating lack of progress in gene identification for mental disorders despite intensive efforts for more than a decade. The disciplines of behavioral and social sciences have rapidly adopted this approach as seen by an increasing number of reports that attempt to link candidate genes with a wide range of human behaviors that may not even be under strong genetic influence such as number of sexual partners and delinquency. A more serious concern, however, is that the findings of this and other nonreplicated genetic associations are now being translated to a range of clinical, legal, research, and social settings such as forensics, diagnostic testing, study participants, and the general public. It is critical that health practitioners and scientists in other disciplines recognize the importance of replication of such findings before they can serve as valid indicators of disease risk or have utility for translation into clinical and public health practice.

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40. Risch N, Merikangas K. The future of genetic studies from the investigators of the original studies included in this meta-analysis is gratefully acknowledged.

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1. Risch N, Merikangas K. The future of genetic studies from the investigators of the original studies included in this meta-analysis is gratefully acknowledged.


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CORRECTION

Incorrect Wording: In the Review article titled “Interaction Between the Serotonin Transporter Gene (5-HTTLPR), Stressful Life Events, and Risk of Depression: A Meta-analysis” by Risch et al, published in the June 17, 2009, issue of JAMA (2009;301(23):2462-2471), incorrect wording occurred. The third sentence of the “Analytic Methods” subsection on page 2464 should have read, “Second, we investigated the associations between stressful life events alone (entered as an ordinal variable) and the number of 5 alleles alone on depression as the response variable.” On page 2468, the last sentence of the first full paragraph of the first column should have read, “However, the meta-analysis yielded no significant differences in the β coefficients between those with and without depression across studies (β, −0.001; SE, 0.047) and sexes, indicating a lack of gene environment interaction.” And the second sentence in the second paragraph in the middle column of page 2469 should have read, “The most likely explanation for an interaction without a main effect would require a reversal in the direction of the life events-by-genotype association, with the risk of depression increasing with the number of 5 alleles in the presence of stressful life events and decreasing with the number 5 alleles in the absence of life events. Our analysis showed there was no such decrease.”

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